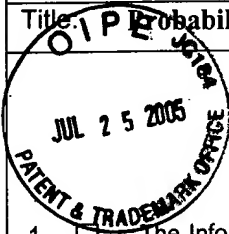


2621

<b>TRANSMITTAL OF SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT</b> <b>Under 37 CFR 1.97(b), (c), or (d)</b>			Docket No. <b>SRI/4190-4</b>
In re Application of: <b>Alfonso Valdes, et al.</b>			
Serial No. <b>09/944,788</b>	Filing Date <b>08/31/2001</b>	Examiner <b>Sherr, Cristina O.</b>	Group Art Unit <b>3621</b>
Title: <b>Probabilistic Alert Correlation</b>			
Address to: Commissioner for Patents Alexandria, VA 22313-1450			
<b>37 CFR 1.97(b)</b>			
1. <input type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits; or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.			
<b>37 CFR 1.97(c)</b>			
2. <input checked="" type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), but prior to the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by the statement or fee as indicated below.			
<b>37 CFR 1.97(d)</b>			
3. <input type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(c), but on or before payment of the issue fee and is accompanied by the statement and fee as indicated below.			
<b>Required Statements and/or Fees Under 37 CFR 1.97(c) or (d)</b>			
<input type="checkbox"/> Each item of information contained in the accompanying Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Information Disclosure Statement. (37 CFR 1.97(e)(1))			
<input checked="" type="checkbox"/> No item of information in the accompanying Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned person, after making reasonable inquiry, no item of information contained in the accompanying Information Disclosure Statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the Information Disclosure Statement. (37 CFR 1.97(e)(2))			
<input type="checkbox"/> The fee set forth in 37 CFR 1.17(p). Please credit any overpayment or charge any insufficiencies to deposit account number 20-0782.			
<b>37 CFR §1.704(d)</b>			
4. <input type="checkbox"/> Each item of information in the accompanying Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart application and this communication was not received by any individual designated in 37 CFR §1.56(c) more than thirty days prior to the filing of the Information Disclosure Statement.			
Kin-Wah Tong, Esq. Reg. No. 39,400  <b>Moser, Patterson &amp; Sheridan, LLP</b> <b>Attorneys at Law</b> <b>595 Shrewsbury Avenue, Suite 100</b> <b>Shrewsbury, New Jersey 07702</b> <b>732-530-9404</b>		Dated: <u>7/6/05</u>  <b>Certificate of Mailing by First Class Mail</b>  I certify that this document is being deposited on with the U.S. Postal Service as first class mail under 37 CFR §1.8 and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450  _____ Signature of Person Mailing Correspondence <b>Sujata Barot</b> _____ Typed or Printed Name of Person Mailing Correspondence	



U.S. Department of Commerce, Patent and Trademark Office (PTO Form 1449 modified)		Docket No. SRI/4190-4	Serial No. 09/944,788
<b>SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>		Applicant Alfonso Valdes, et al.	Confirmation No.: 1821
(Use several sheets if necessary)		Filing Date 08/31/2001	Group 3621
Examiner Sherr, Cristina O.			

U.S. Patent Documents							
*Examiner Initial		Document Number	Issue Date	Applicant(s) Name	Class	Subclass	Filing Date If Appropriate
	A1						
	A2						
	A3						
	A4						
	A5						
	A6						
	A7						
	A8						
	A9						
	A10						

Foreign Patent Documents								
*Examiner Initial		Document Number	Date	Country	Class	Subclass	Translation	
							YES	NO
	B1						<input type="checkbox"/>	<input type="checkbox"/>
	B2						<input type="checkbox"/>	<input type="checkbox"/>
	B3						<input type="checkbox"/>	<input type="checkbox"/>
	B4						<input type="checkbox"/>	<input type="checkbox"/>

OTHER ART		
*Examiner Initial		Including Author, Title, Date, Pertinent Pages, Etc.
	C1	Copy of First Amended Complaint for Patent Infringement; Demand for Jury; SRI International, Inc. v. Internet Security Systems, Inc. AND Symantec Corporation, Case No. 04-1199-SLR, pages 1-8, believed to have been filed on 5/23/05.
	C2	Copy of Symantec Corporation's Answer and Counterclaims to SRI International Inc.'s First Amended Complaint; SRI International, Inc. v. Internet Security Systems, Inc. and Symantec Corporation, Case No. 04-1199 (SLR), pages 1-15, Certificate of Service dated 5/23/05.
Examiner	Date Considered	
*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with your communication to applicant.		

U.S. Department of Commerce, Patent and Trademark Office		Docket No.	Serial No.
(PTO Form 1449 modified)		SRI/4190-4	09/944,788
<b>SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>		Applicant Alfonso Valdes, et al.	Confirmation No.: 1821
(Use several sheets if necessary)		Filing Date	Group
Examiner Sherr, Cristina		08/31/2001	3621

**U.S. Patent Documents**

*Examiner Initial		Document Number	Issue Date	Applicant(s) Name	Class	Subclass	Filing Date If Appropriate
	A11						
	A12						
	A13						
	A14						
	A15						
	A16						
	A17						
	A18						
	A19						
	A20						

**Foreign Patent Documents**

*Examiner Initial		Document Number	Date	Country	Class	Subclass	Translation	
							YES	NO
	B5						<input type="checkbox"/>	<input type="checkbox"/>
	B6						<input type="checkbox"/>	<input type="checkbox"/>
	B7						<input type="checkbox"/>	<input type="checkbox"/>
	B8						<input type="checkbox"/>	<input type="checkbox"/>

**OTHER ART**

*Examiner Initial		Including Author, Title, Date, Pertinent Pages, Etc.
	C3	Copy of Answer and Counterclaims of Internet Security Systems, Inc., A Georgia Corporation; SRI International, Inc. v. Internet Security Systems, Inc. and Symantec Corporation, C.A. No. 04-1199 (SLR), pages 1-22, Certificate of Service dated 5/23/05.
	C4	Copy of Answer and Counterclaims of Internet Security Systems, Inc., A Delaware Corporation; SRI International, Inc. v. Internet Security Systems, Inc. and Symantec Corporation, C.A. No. 04-1199 (SLR), pages 1-22, Certificate of Service dated 5/23/05.
	C5	
	C6	

Examiner

Date Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with your communication to applicant.